IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Patent Application of Atty. Ref.: 900-400 09/960,517 Group: 2822 September 24, 2001 Examiner: T. THOMAS SEMICONDUCTOR DEVICE AND FABRICATION PROCESS **THEREFOR** ECHNOLOGY CENTER 2800 November 13, 2003 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 INFORMATION DISCLOSURE STATEMENT PTO-1449 Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action] N/C **Statement Pursuant to 37 CFR 1.97(c)** [before Final Office Action and Allowance] N/C Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action and Allowance] \$180.00 Pursuant to 37 CFR 1.97(d) [before Issue Fee payment] \$180.00 2005 14/17/2003 HGEBREM1 00000010 09960517 A list of documents on Form PTO-1449 together with copies of each

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

 \boxtimes 5. identified document and a translation or a concise explanation of each non-English language document (or foreign search report) is enclosed herewith.

This paper is submitted in accordance with:

AOKI

Filed:

For:

Sir:

1.

2.

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4.

Serial No.

6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

\boxtimes	7.	37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
		a) The required Statement made in item 9 below; or
	\boxtimes	b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is submitted herewith.
	8.	37 CFR §1.97(d): [before issue fee payment]; and
		a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
		b) The required Statement is stated in item 9 below.
	9.	Statement under 37 CFR 1.97(e)
		a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
		b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
\boxtimes	10.	Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.
		Respectfully submitted,
		By: Joseph A. Rhoa Reg. No. 37,515

JAR:caj

AOKI Serial No. 09/960,517

1100 North Glebe Road, 8th Floor Arlington, VA 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

ATTY. DOCKET NO. TRACEME NOV 1 3 2003 Sheet of 1 INFORMATION DISCLOSURE SERIAL NO. CITATION 09/960,517 APPLICANT **AOKI** FILING DATE (Use several sheets if necessary) GROUP September 24, 2001 2822 U.S. PATENT DOCUMENTS *EXAMINER FILING DATE IF APPROPRIATE INITIAL DOCUMENT NUMBER DATE NAME CLASS **SUBCLASS** 5,880,500 3/1999 lwata et al. 5,091,763 2/1992 Sanchez 4,949,136 8/1990 Jain 5 OTONI T W) C 2 П œ **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT DATE COUNTRY CLASS SUBCLASS YES NO 1-120067 5/1989 JP Partial 60-136376 7/1985 JP Partial 62-229976 10/1987 JP Partial OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Date Considered

*Examiner

Form PTO-FB-A820 (Also PTO-1449)